

Derandomization from algebraic hardness

TREADING THE BORDERS

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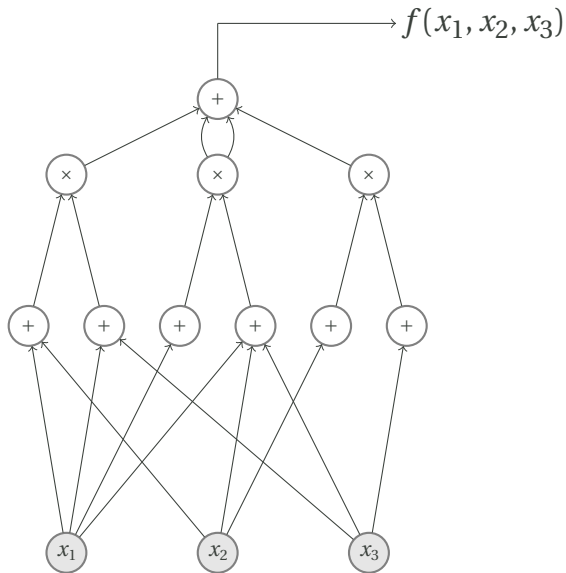
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Algebraic Circuits



Two Important Questions



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These two problems are intimately connected to each other.

A “trivial” hitting set

Lemma ([Ore, Demillo-Lipton, Schwartz, Zippel])

If $P(x_1, \dots, x_n)$ is a nonzero polynomial of degree d , and $S \subseteq \mathbb{F}$ of size at least $d + 1$, then $P(\mathbf{a}) \neq 0$ for some $\mathbf{a} \in S^n$.

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A: Umm...

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- ▶ Find **hay**.

(Why do we still keep finding needles all the time?)

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- ▶ You care a lot about **hard polynomials**.
- ▶ Almost every **polynomial** is a **hard polynomial**.
- ▶ Find a **hard polynomial**.
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- ▶ You care a lot about **hitting sets**.
- ▶ Almost every **set of poly-size** is a **hitting set**.
- ▶ Find a **hitting set**.
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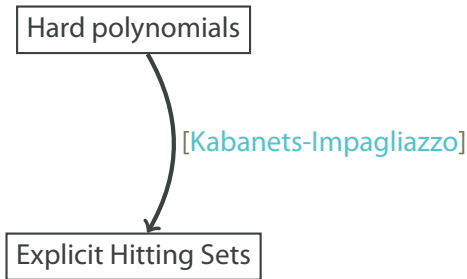
Question: Can we use one pseudorandom object to build another?

Lower bounds and hitting sets

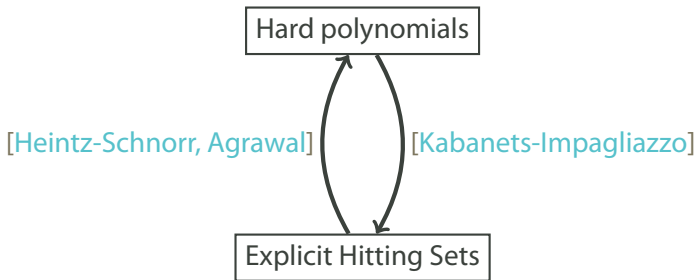
Hard polynomials

Explicit Hitting Sets

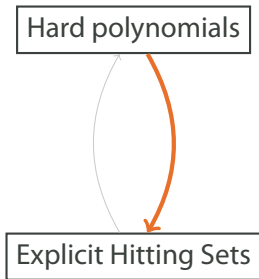
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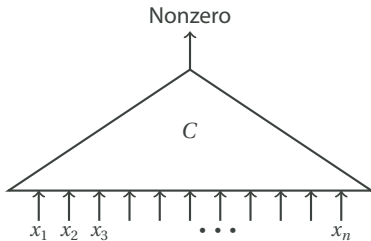
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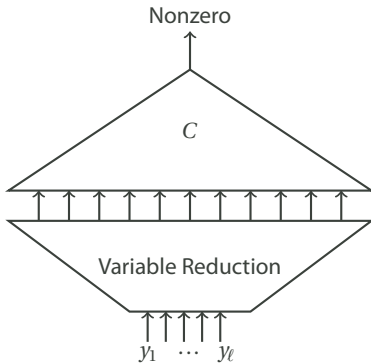
Lower bounds \rightarrow hitting sets



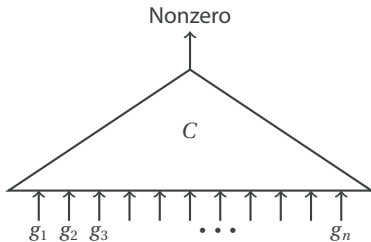
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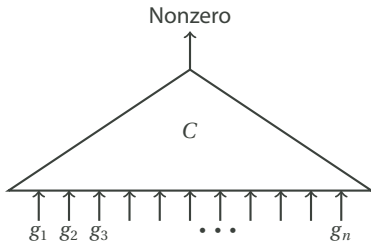
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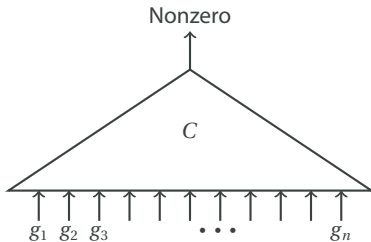


Definition (Generator)

A map $\mathcal{G} = (g_1, \dots, g_n) \in \mathbb{F}[y_1, \dots, y_\ell]^n$ is a **hitting-set generator** for a class \mathcal{C} if

$$\forall C \in \mathcal{C} \quad , \quad C \neq 0 \iff C \circ \mathcal{G} \neq 0.$$

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Lemma

Let $\mathcal{G} = (g_1, \dots, g_n) \in \mathbb{F}[y_1, \dots, y_\ell]^n$ be an explicit hitting-set generator for $\mathcal{C}(n, D, s)$ of degree d . Then, we have

- ▶ An explicit hitting set H of size $(dD + 1)^\ell$

Generators assuming hardness

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Our results

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Some consequences

Corollary

Let k be a large enough constant and $\varepsilon > 0$. Suppose $\{P_{k,d}\}_d$ is an explicit family of polynomials with $\deg P_{k,d} = d$ such that $\{P_{k,d}\}_d$ requires size $d^{3+\varepsilon}$ (or $\overline{\text{size}} d^{1+\varepsilon}$).

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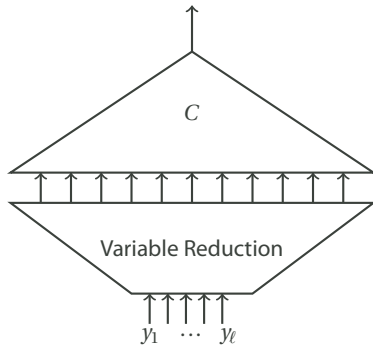
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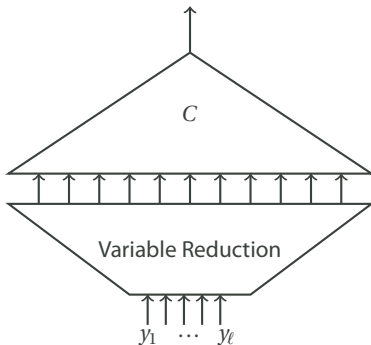
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Hence $C \circ \mathcal{G}_P$ is a nonzero $2k$ -variate polynomial of degree at most d . Hence, we have a hitting set of size $(ds)^{2k} = s^{O(k^2/\varepsilon)}$. □

Revisiting variable reductions

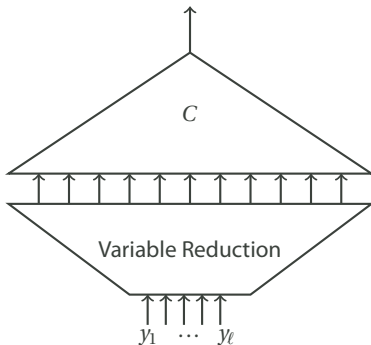


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Hitting-set Generator: $C \neq 0 \iff C \circ \mathcal{G} \neq 0$

Revisiting variable reductions



Hitting-set Generator: $C \neq 0 \iff C \circ \mathcal{G} \neq 0$

Dream: $\text{size}(C \circ \mathcal{G}) \approx \text{size}(C) + \text{size}(\mathcal{G})$

The Kronecker Map



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If P is a n -variate multilinear polynomial, then $P \circ \mathcal{K}$ is a univariate polynomial of degree at most 2^n .

The Kronecker Map

$$\mathcal{K}_t = \left(1, y_1, y_1^2, \dots, y_1^{2^{m-1}}, \dots, 1, y_t, \dots, y_t^{2^{m-1}}\right) \quad (n = tm)$$

$$x_1^{e_1} \cdots x_n^{e_n} \mapsto y_1^{[e_1 \cdots e_m]_2} \cdots y_t^{[e_* \cdots e_n]_2}$$

If P is a n -variate multilinear polynomial, then

$P \circ \mathcal{K}$ is a t -variate polynomial of degree at most $2^{n/t}$.

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$P \circ \mathcal{K}$ is a t -variate polynomial of degree at most $2^{n/t}$.

[Kabanets-Impagliazzo]: If $\{P_n\}$, multilinear, with $\text{size}(P_n) > 2^{n/1000}$, then we have $s^{O(\log s)}$ -sized hitting sets.

The Kronecker Map

$$\mathcal{K}_t = \left(1, y_1, y_1^2, \dots, y_1^{2^{m-1}}, \dots, 1, y_t, \dots, y_t^{2^{m-1}}\right) \quad (n = tm)$$

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Consequences for bootstrapping

Theorem. [Kumar-S-Tengse]

Let $\varepsilon > 0$ and k (large enough) be fixed constants.

If, for all $s \geq k$, we have explicit hitting sets for $\mathcal{C}(k, s, s)$ of size

$$s^{k-\varepsilon},$$

then, we have explicit hitting sets for $\mathcal{C}(s, s, s)$ of size

$$s^{\exp(\exp(\log^* s))}$$

Consequences for bootstrapping

Corollary

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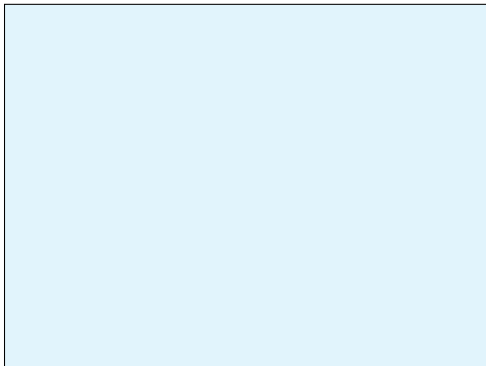
$$s^{O(1)}$$

Circuits and border are crucial for this.

**What's all this
border stuff?**

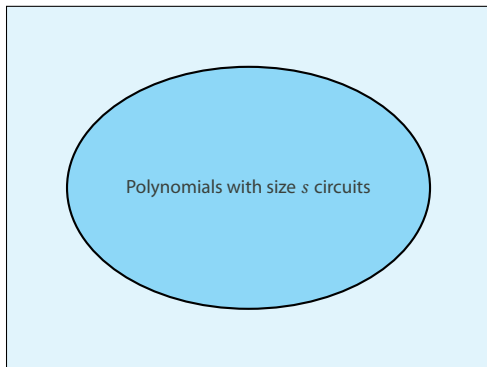
The Border

All polynomials



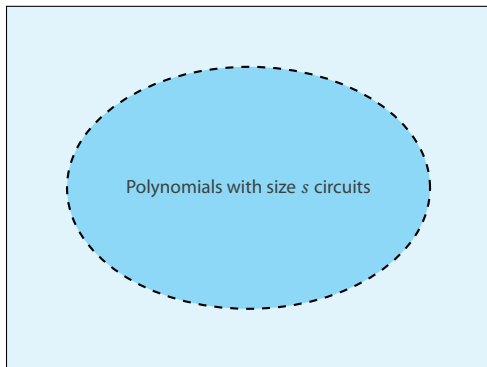
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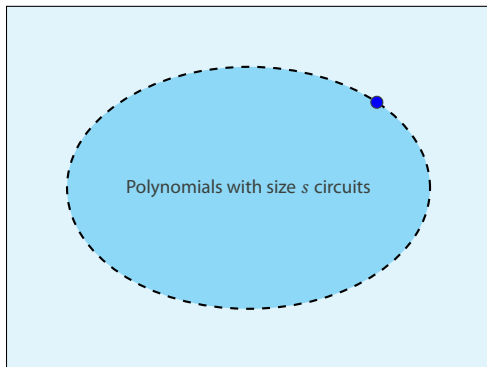
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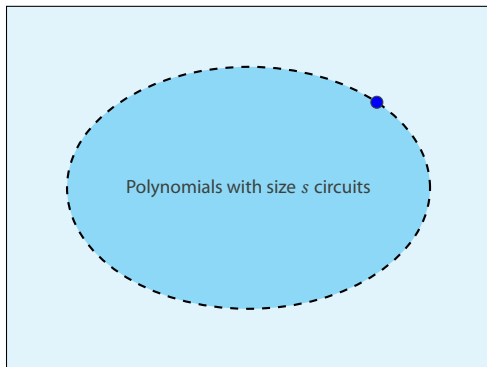
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- Does not have size s circuits, but arbitrarily close to those that do.

Border computation: an example

$$\mathcal{C} = \{f : f = l_1^d + l_2^d, \deg(l_1), \deg(l_2) = 1\}$$

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P_d can be computed in size s as well!

`\begin{proof}`

Designing generators

Any sufficiently advanced

technology

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Any sufficiently hard polynomial's evaluations

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$$[KI, NW]: \quad \mathcal{G} : (y_1, \dots, y_\ell) \mapsto (P(\mathbf{y} |_{S_1}), \dots, P(\mathbf{y} |_{S_n}))$$

Designing generators

Any sufficiently hard polynomial's components

'Tailored' appropriately

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Description of our generator

$$P(z_1, \dots, z_k)$$

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$$P(\mathbf{y} + \mathbf{z}) = P(\mathbf{z}) + \sum_i y_i \cdot (\partial_i P)(\mathbf{z}) + \sum_{i,j} y_i y_j \cdot (\partial_{i,j} P)(\mathbf{z}) + \dots$$

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Definition (The generator)

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$$\Delta_i(P) = \sum_{\mathbf{e}:|\mathbf{e}|=i} \frac{\mathbf{y}^{\mathbf{e}}}{\mathbf{e}!} \cdot (\partial_{\mathbf{e}} P)(\mathbf{z}).$$

The generator \mathcal{G}_P is defined as

$$\mathcal{G}_P = (\Delta_0(P), \Delta_1(P), \Delta_2(P), \dots, \Delta_n(P)) \in (\mathbb{F}[\mathbf{y}_{[k]}, \mathbf{z}_{[k]}])^{n+1}.$$

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- ▶ Assume $C \neq 0$ is a small circuit such that $C \circ \mathcal{G}_P = 0$.

- ▶ Show that we can use C , and a little more, to get a circuit that computes P .

Idea: *Think of $C(\Delta_0(P), \dots, \Delta_n(P)) = 0$ as a differential equation and solve for P .*

Cauchy-Kowalevski Equations

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- ▶ Compute the homogeneous parts of P , one by one, via Newton Iteration

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(Assuming that \mathcal{G}_p is *not* a generator)

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Contradicts minimality!

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Setting-up the initial conditions

(Assuming that \mathcal{G}_P is not a generator)

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$$(x_n - g_n)^{r+1} \text{ divides } \tilde{C}$$

$$(x_n - g_n)^t \text{ cannot divide } \tilde{C}$$

if $t > \deg \tilde{C}$

Setting-up the initial conditions

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$$C' = (\partial_n^r C) \text{ is what we want.}$$

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$C' = (\partial_n^r C)$ is what we want.

And, $\text{size}(C') \leq \text{size}(C) \cdot \text{deg}(C)$

Some basic properties

$$\Delta_i(P) = \sum_{\mathbf{e}:|\mathbf{e}|=i} \frac{\mathbf{y}^{\mathbf{e}}}{\mathbf{e}!} \cdot (\partial_{\mathbf{e}}P)(\mathbf{z}).$$

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'Homogeneity':

$$\begin{aligned} P(\mathbf{z}) &= Q(\mathbf{z}) \bmod \langle \mathbf{z} \rangle^t \\ \implies \Delta_i(P) &= \Delta_i(Q) \bmod \langle \mathbf{z} \rangle^{t-i} \end{aligned}$$

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$$P = P_0 + \dots + P_d$$

$$\Delta_i(P) = \Delta_i(P_{\leq t+i-1}) \bmod \langle \mathbf{z} \rangle^t$$

The Reconstruction Step

$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{z}) = 0$$

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Else, replace $\langle z_1, \dots, z_\ell \rangle$ with $\langle z_1 - \alpha_1, \dots, z_k - \alpha_k \rangle$ in what follows

The Reconstruction Step

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Bruteforce

in $n^{O(k)}$ size

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Bruteforce
in $n^{O(k)}$ size

Compute, via
Newton iterations,
one by one

The Reconstruction Step

$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) = 0$$

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$$P = P_0 + \cdots + P_n + P_{n+1} + \cdots + P_d$$

$$C'(g_0, \dots, g_{n-1}, g_n) = 0$$

The Reconstruction Step

$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) = 0$$

$$(\partial_n C') \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) \neq 0$$

$$P = P_0 + \cdots + P_n + P_{n+1} + \cdots + P_d$$

$$C'(\Delta_0(P), \dots, \Delta_{n-1}(P), \Delta_n(P)) = 0$$

The Reconstruction Step

$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) = 0$$

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$$(\Delta_i(P) = \Delta_i(P_{\leq t+i-1}) \bmod \langle \mathbf{z} \rangle^t)$$

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$$C'(R_0, \dots, R_{n-1}, R_n + A) = 0 \bmod \langle \mathbf{z} \rangle^2$$

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$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) = 0$$

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$$= C'(R_0, \dots, R_{n-1}, R_n) + A \cdot ((\partial_n C')(R_0, \dots, R_n)) = 0 \bmod \langle \mathbf{z} \rangle^2$$

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$$\therefore A = \left(\frac{C'(R_0, \dots, R_n)}{(\partial_n C') \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0})} \right) \bmod \langle \mathbf{z} \rangle^2$$

The Reconstruction Step

$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) = 0$$

$$(\partial_n C') \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) \neq 0$$

$$P = P_0 + \cdots + P_n + P_{n+1} + \cdots + P_d$$

$$\Delta_n(P_{n+1}) = \left(\frac{C'(\Delta_0(P_{\leq n}), \dots, \Delta_n(P_{\leq n}))}{(\partial_n C') \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0})} \right) \bmod \langle \mathbf{z} \rangle^2$$

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The Reconstruction Step

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By trying many \mathbf{a} 's, we can obtain all of $\partial^{=n}(P_{n+1})$

The Reconstruction Step

$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) = 0$$

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By trying many \mathbf{a} 's, we can obtain all of $\partial^{=n}(P_{n+1})$
and hence P_{n+1} itself

The Reconstruction Step

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By trying many \mathbf{a} 's, we can obtain all of $\partial^{=n}(P_{n+1})$
and hence P_{n+1} itself

(Euler formula: $d \cdot f = \sum x_i \partial_i f$, if f homogeneous of degree d)

The Reconstruction Step

$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) = 0$$

$$(\partial_n C') \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) \neq 0$$

$$P = P_0 + \dots + P_n + P_{n+1} + \dots + P_d$$

$$\Delta_n(P_{n+1})(\mathbf{a}, \mathbf{z}) = \left(\frac{C'(\Delta_0(P_{\leq n}), \dots, \Delta_n(P_{\leq n}))(\mathbf{a}, \mathbf{z})}{(\partial_n C') \circ \mathcal{G}_P(\mathbf{a}, \mathbf{0})} \right) \bmod \langle \mathbf{z} \rangle^2$$

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By trying many \mathbf{a} 's, we can obtain all of $\partial^{=n}(P_{n+1})$

and hence P_{n+1} itself

modulo higher order junk

The Reconstruction Step

$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) = 0$$

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By trying many \mathbf{a} 's, we can obtain all of $\partial^{=n}(P_{n+1})$
and hence P_{n+1} itself
modulo higher-order junk

Border tricks!

Or careful homogenisation

The Reconstruction Step

$$C' \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) = 0$$

$$(\partial_n C') \circ \mathcal{G}_P(\mathbf{y}, \mathbf{0}) \neq 0$$

$$P = P_0 + \dots + P_n + P_{n+1} + \dots + P_d$$

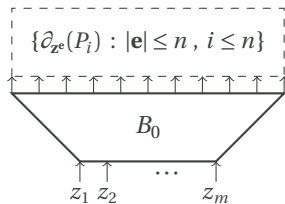
$$\Delta_n(P_{n+j+1})(\mathbf{a}, \mathbf{z}) = \left(\frac{C'(\Delta_0(P_{\leq n+j}), \dots, \Delta_n(P_{\leq n+j}))(\mathbf{a}, \mathbf{z})}{(\partial_n C') \circ \mathcal{G}_P(\mathbf{a}, \mathbf{0})} \right) \text{mod } \langle \mathbf{z} \rangle^{j+2}$$

By trying many \mathbf{a} 's, we can obtain all of $\partial^{=n}(P_{n+j+1})$
and hence P_{n+j+1} itself
modulo higher-order junk

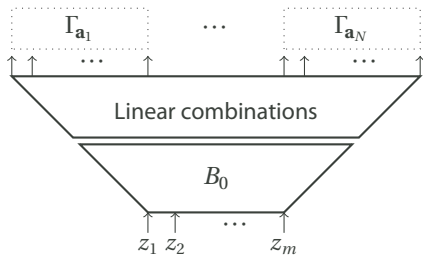
Border tricks!

Or careful homogenisation

Reconstruction Step: Pictorially

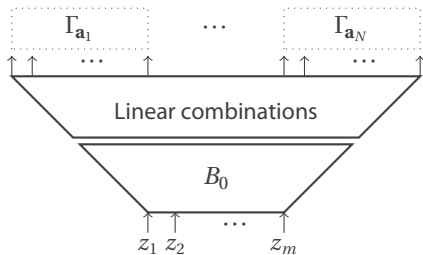


Reconstruction Step: Pictorially

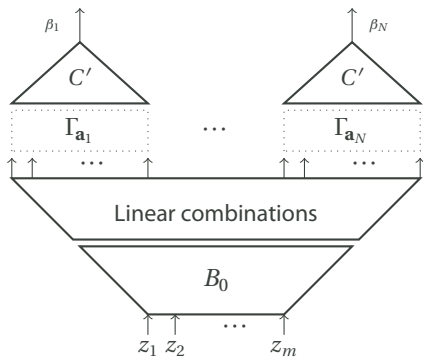


Reconstruction Step: Pictorially

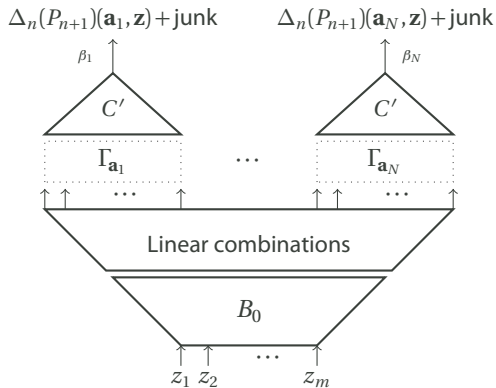
$$\Gamma_{\mathbf{a}} = (\Delta_0(P_{\leq n})(\mathbf{a}, \mathbf{z}), \dots, \Delta_n(P_{\leq n})(\mathbf{a}, \mathbf{z}))$$



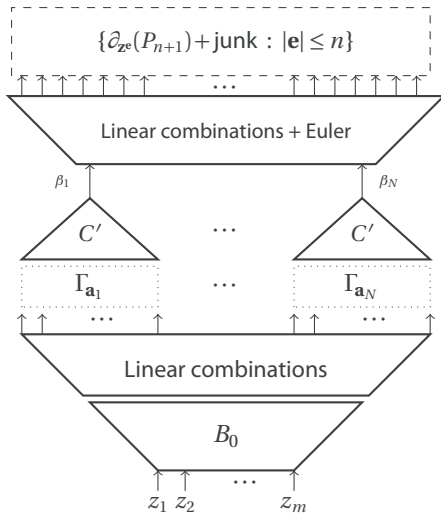
Reconstruction Step: Pictorially



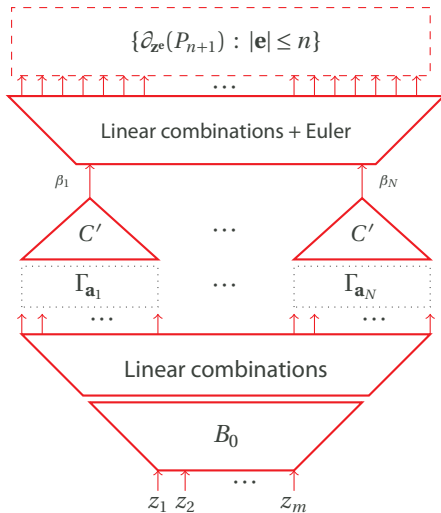
Reconstruction Step: Pictorially



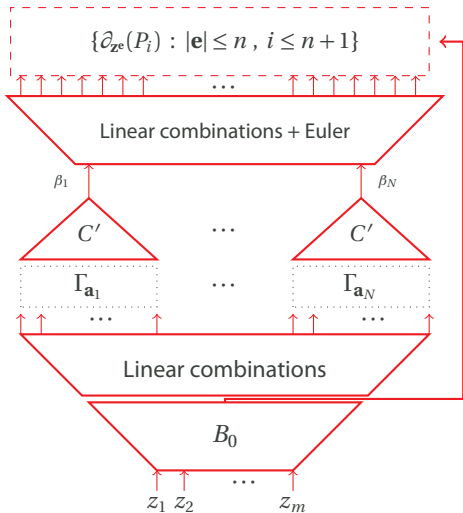
Reconstruction Step: Pictorially



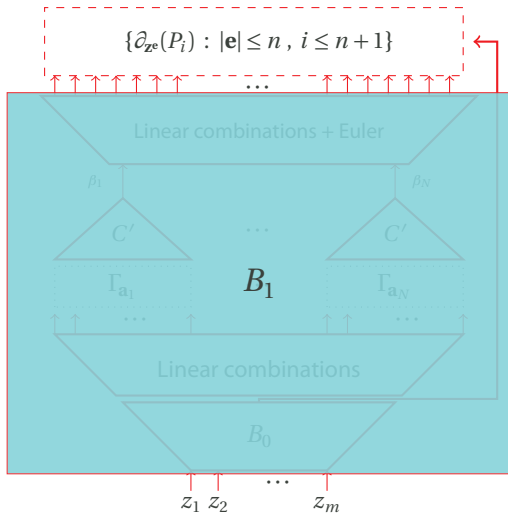
Reconstruction Step: Pictorially



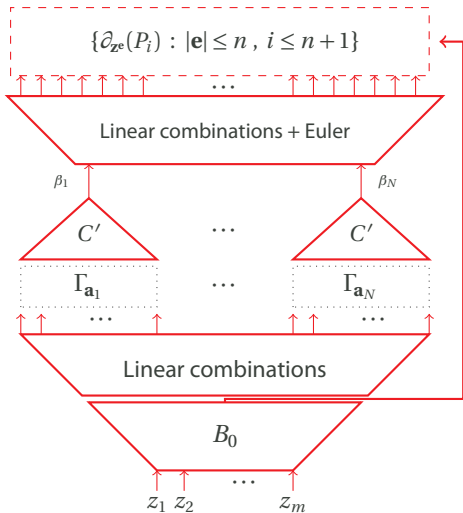
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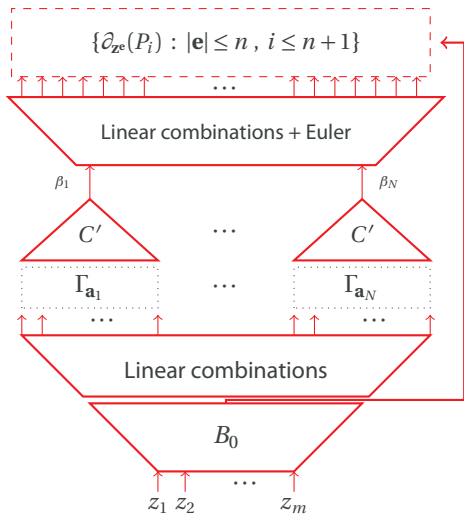


Reconstruction Step: Pictorially



$$s_0 = n^{O(k)}$$

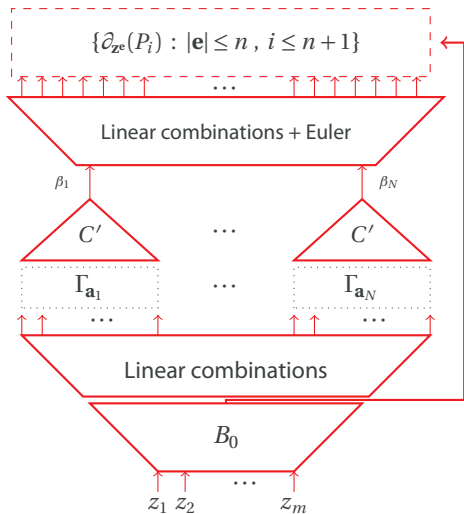
Reconstruction Step: Pictorially



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$$\underline{s_0 = n^{O(k)}}$$

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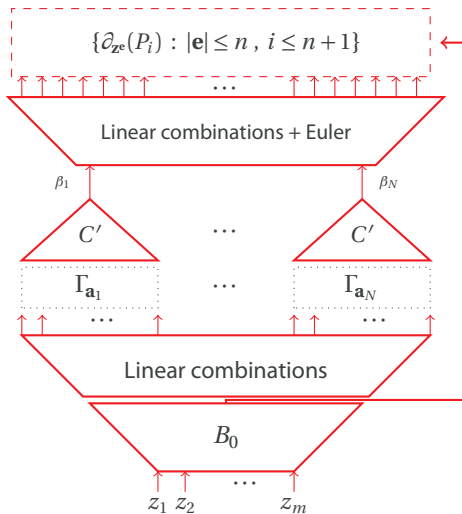


$$s' \cdot n^{O(k)}$$

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$$\underline{s_0 = n^{O(k)}}$$

Reconstruction Step: Pictorially



$$n^{O(k)}$$

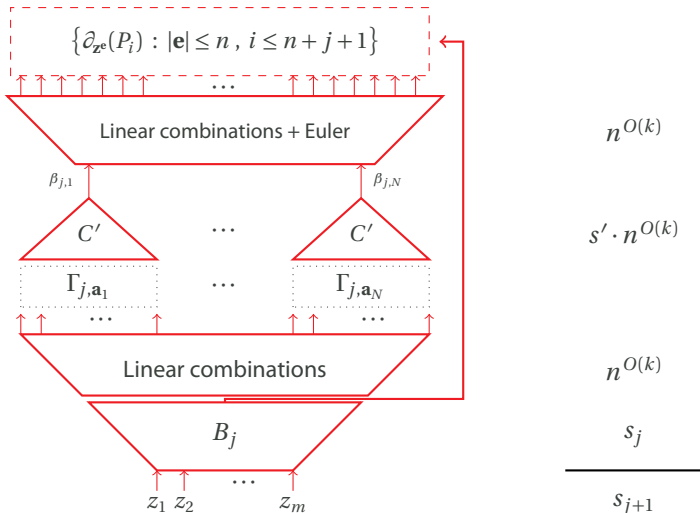
$$s' \cdot n^{O(k)}$$

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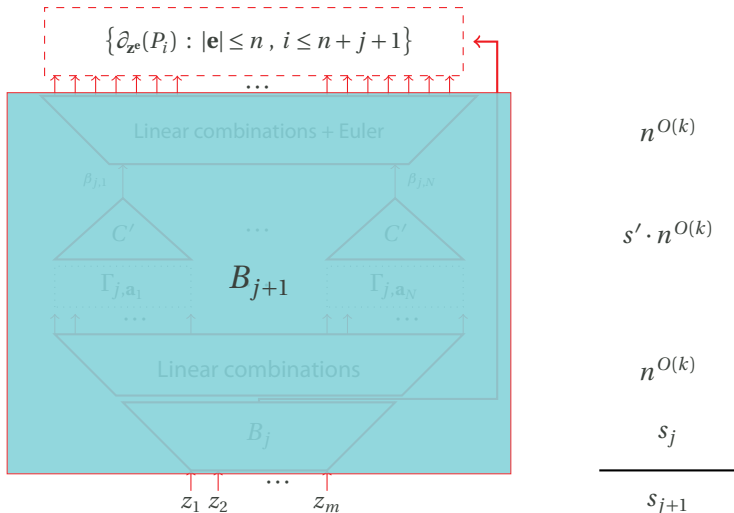
$$s_0 = n^{O(k)}$$

$$s_1$$

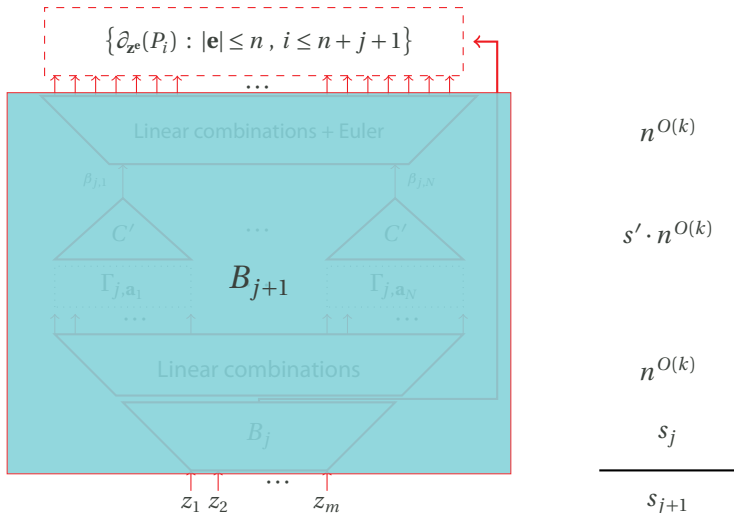
Reconstruction Step: Pictorially



Reconstruction Step: Pictorially

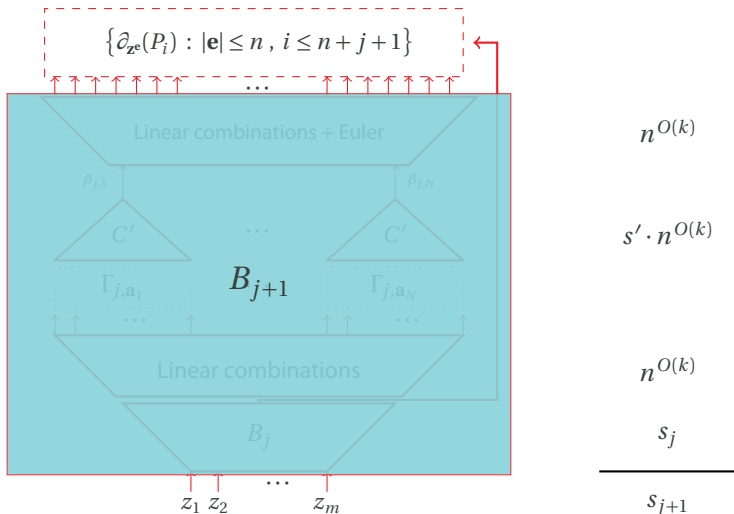


Reconstruction Step: Pictorially



$$s_d \leq s' \cdot n^{O(k)} \cdot d$$

Reconstruction Step: Pictorially



$$s_d \leq s \cdot D \cdot n^{O(k)} \cdot d$$

`\end{proof}`

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\end{document}
